

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **CHIBA, Shingo, et al.**

ATTN: PCT BRANCH

Serial No.: **10/560,618**

Group Art Unit: **2875**

Filed: **December 14, 2005**

P.T.O. Confirmation No.: **6835**

For: **LED ILLUMINATION DEVICE**

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

September 8, 2006

Dear Sir:

In response to the Notification of Missing Requirements of Application dated **July 10, 2006**, submitted herewith for filing is an executed Substitute Declaration and Power of Attorney for the above-identified application. This Substitute Declaration is being submitted in order to correct the Declaration which was initially filed with the application on December 14, 2005. The Substitute Declaration includes the citizenship of the third inventor "Toshiaki OKABE".

Please note that the fees enclosed at initial filing on **December 14, 2005** were **\$1,100.00** (\$300.00 for basic filing fees, \$400.00 for the search fee, \$200.00 for the examination fee, \$200.00 for independent claims. The surcharge fee of \$130.00 for filing the late Declaration was not paid at the initial filing. Accordingly, we are enclosing a check in the amount of **\$130.00** for filing the late Declaration). In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340.

In the event that this response is not timely filed, the applicants hereby petition for an appropriate extension of time. The fees for any such extension may be charged to our Deposit Account No. 01-2340.

Respectfully submitted,

09/13/2006 ATRAN1 00000146 10560618

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ARMSTRONG, KRATZ, QUINTOS,
HANSON & BROOKS, LLP

[Signature]
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27133

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PATENT TRADEMARK OFFICE

Enclosures: Notification; Substitute Declaration



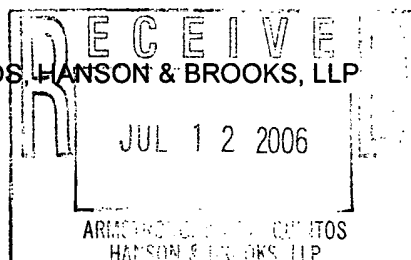
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/560,618	Shingo Chiba	050810

23850

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INTERNATIONAL APPLICATION NO.

PCT/JP04/08412

I.A. FILING DATE

06/09/2004

PRIORITY DATE

06/20/2003

CONFIRMATION NO. 6835

371 FORMALITIES LETTER



OC000000019538921

Date Mailed: 07/10/2006

DUE: ~~SEPTEMBER~~ 10, 2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/14/2005
- Copy of the International Search Report filed on 12/14/2005
- Copy of IPE Report filed on 12/14/2005
- Preliminary Amendments filed on 12/14/2005
- Information Disclosure Statements filed on 12/14/2005
- Oath or Declaration filed on 12/14/2005
- Request for Immediate Examination filed on 12/14/2005
- U.S. Basic National Fees filed on 12/14/2005
- Assignment filed on 12/14/2005
- Priority Documents filed on 12/14/2005
- Specification filed on 12/14/2005
- Claims filed on 12/14/2005
- Abstracts filed on 12/14/2005
- Drawings filed on 12/14/2005

PCT-National Phase

Priority 6-20-0330 Months 2-10-0532 Months 2-20-06

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - Inventor citizenship missing on the declaration for the 3rd inventor (Toshiaki Okabe)

DOCKETED

DATE

7/13/06

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,618	PCT/JP04/08412	050810